

Attorney Docket No.: 773-013

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yajima

Serial No.: 09/925,961

Filed: August 9, 2001



X

For: OPTICAL MULTIPLE TRANSMISSION METHOD:  
OPTICAL NETWORK AND ....

X

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

SIR:

Enclosed with this Response to Notice to File Missing Parts is a Petition for one Month Extension and two checks in the amount of \$1120.00 and \$110.00 (respectively for the filing fee, assignment and petition) along with the Declaration, Assignment, and Assignment Recordation Sheet. A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Any additional fees required in connection with this application may be charged to  
Deposit Account No. 19-2825.

Respectfully submitted,  
**SOFER & HAROUN, LLP**

By:

Joseph Sofer, Esq.

Reg. No. 34,348

342 Madison Avenue, Suite 1921  
New York, New York 10173

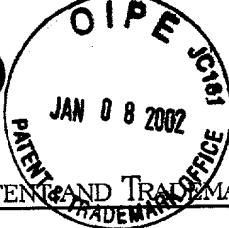
(212) 697-2800

Dated: December 13, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,961	08/09/2001	Yusuke Yajima	773-013

**CONFIRMATION NO. 4406**

**FORMALITIES LETTER**



\*OC000000006559196\*

SOFFER & HAROUN, LLP  
Suite 1921  
342 Madison Avenue  
New York, NY 10173

POSTED

Date Mailed: 09/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.
 

01/14/2002 MBERHE	0000062 192025	09925961
02 FC:101		740.00 DP
03 FC:105		130.00 DP
04 FC:102	42.00 CH	210.00 DP
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1080.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice MUST be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE